EYFS Audit (from Sept 2021)

Early Years Foundation Stage statutory requirements with which providers must comply.

This EYFS setting checklist can be used to support you in reviewing your working practices, including paperwork and documentation. It is not an exhaustive list of Ofsted or EYFS requirements and you should ensure you have a working knowledge of these at all times. We suggest that you have copies of the following when working through this checklist:

- o EYFS Statutory Framework
- o The Education Inspection Framework (EIF)
- o Inspecting Safeguarding in Early Years, Education and Skills Settings

Please note the EYFS Statutory Framework is subject to change. It is therefore important that you use the most up to date version when completing this audit.

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Section 1 – The Learning and Development Requirements

This audit is to be used in conjunction with the EYFS Statutory FrameworkIt provides an opportunity for you to evidence and evaluate your practice in relation to the EYFS statutory requirements.Statements in italic font are 'should' rather than 'must', and are examples of good quality early years practice.		Review date	Location of evidence	Action required
EYFS Paragraph				
1.1	Providers must work in partnership with parents and/or carers, to promote the learning and development of all children in their care, and to ensure they are ready for Year 1. The learning and development requirements are informed by the best available evidence on how children learn and reflect the broad range of skills, knowledge and attitudes children need as foundations for good future progress. Early years providers must guide the development of children's capabilities with a view to ensuring that children in their care complete the EYFS ready to benefit fully from the opportunities ahead of them.			
	Areas of Learning and Development			
1.3 - 1.4	There are seven areas of learning and development that must shape educational programmes in early years settings. All areas of learning and development are important and inter-connected. The three prime areas are particularly important for building a foundation, for igniting children's curiosity and enthusiasm for learning, and for building their capacity to learn, form relationships and thrive.			
	The prime areas are:			

	 Communication and Language; Physical Development; and Personal, Social and Emotional Development. 	
1.5	Providers must also support children in four specific areas, through which the three prime areas are strengthened and applied. The specific areas are:	
	 Literacy; Mathematics; Understanding the World; and Expressive Arts and Design. 	
1.6	Educational programmes must involve activities and experiences for children, as set out under each of the areas of learning.	
	Providers should refer to the EYFS Statutory Framework section 1 (Learning and Development) for the content of the educational programmes for all seven areas of learning.	
1.11- 12	Practitioners must consider the individual needs, interests, and development of each child in their care, and must use this information	
	to plan a challenging and enjoyable experience for each child in all of the areas of learning and development.	
	Practitioners working with the youngest children are expected to ensure a strong foundation for children's development in the three prime areas. The specific areas of learning provide children with a broad curriculum and with opportunities to strengthen and apply the	

	prime areas of learning. This is particularly important in developing language and extending vocabulary.	
	Throughout the early years, if a child's progress in any prime area gives cause for concern, practitioners must discuss this with the child's parents and/or carers and agree how to support the child.	
	Practitioners must consider whether a child may have a special educational need or disability which requires specialist support.	
	They should link with, and help families to access, relevant services from other agencies as appropriate.	
1.13	For children whose home language is not English, providers must take reasonable steps to provide opportunities for children to develop and use their home language in play and learning, supporting their language development at home.	
	Providers must also ensure that children have sufficient opportunities to learn and reach a good standard in English language during the EYFS, ensuring children are ready to benefit from the opportunities available to them when they begin Year 1.	
	When assessing communication, language and literacy skills, practitioners must assess children's skills in English.	
	If a child does not have a strong grasp of English language, practitioners must explore the child's skills in the home language with parents and/or carers, to establish whether there is cause for concern about language delay.	
1.14	Practitioners must stimulate children's interests, responding to each child's emerging needs and guiding their development through warm, positive interactions coupled with secure routines for play and learning.	

1.15	In planning and guiding children's activities, practitioners must reflect on the different rates at which children are developing adjust their practice appropriately. The characteristics of effective teaching and learning are:	
	 Playing and Exploring - children investigate and experience things, and 'have a go'; 	
	 Active Learning - children concentrate and keep on trying if they encounter difficulties, and enjoy achievements; and 	
	 Creating and Thinking Critically - children have and develop their own ideas, make links between ideas, and develop strategies for doing things. 	
1.16	Each child must be assigned a key person (also a Safeguarding and Welfare requirement).	
	Providers must inform parents and/or carers of the name of the key person, and explain their role, when a child starts attending a setting.	
	The key person must help ensure that every child's learning and care is tailored to meet their individual needs.	
	The key person must seek to engage and support parents and/or carers in guiding their child's development at home.	
	They should also help families engage with more specialist support if appropriate.	

Section 2 – Assessment

Audit of MUSTs is to be used in conjunction with the <u>EYFS Statutory</u> <u>Framework</u>	Review	Location of evidence	Action required
It provides an opportunity for you to evidence and evaluate your practice in relation to the EYFS statutory Framework.	date		

	is 2.1- 2.3 do not contain any 'musts' but provide a definition of good tice relating to assessment in the early years.	
2.1	Assessment plays an important part in helping parents, carers and practitioners to recognise children's progress, understand their needs, and to plan activities and support. Ongoing assessment (also known as formative assessment) is an integral part of the learning and development process. It involves practitioners knowing children's level of achievement and interests, and then shaping teaching and learning experiences for each child reflecting that knowledge. In their interactions with children, practitioners should respond to their own day-to-day observations about children's progress and observations that parents and carers share.	
2.2	Assessment should not entail prolonged breaks from interaction with children, nor require excessive paperwork. When assessing whether an individual child is at the expected level of development, practitioners should draw on their knowledge of the child and their own expert professional judgement and should not be required to prove this through collection of physical evidence.	
2.3	Parents and/or carers should be kept up-to-date with their child's progress and development. Practitioners should address any learning and development needs in partnership with parents and/or carers, and any relevant professionals. Assessment should inform an ongoing dialogue between practitioners and year 1 teachers about each child's learning and development, to support a successful transition to key stage 1.	
	Progress check at age 2	
2.4	When a child is aged between two and three, practitioners must review their progress, and provide parents and/or carers with a short written summary of their child's development in the prime areas.	
	This progress check must identify the child's strengths, and any areas where the child's progress is less than expected. If there are significant emerging concerns, or an identified special educational need or disability, practitioners should develop a targeted plan to support the	

	child's future learning and development involving parents and/or carers and other professionals as appropriate.			
2.5- 2.6	Beyond the prime areas it is for practitioners to decide what the written summary should include, reflecting the development level and needs of the individual child.			
	The summary must highlight:			
	Areas in which a child is progressing well; areas in which some additional support might be needed; and focus particularly on any areas where there is a concern that a child may have a developmental delay (which may indicate a special educational need or disability).			
	It must describe the activities and strategies the provider intends to adopt to address any issues or concerns.			
	Practitioners must discuss with parents and/or carers how the summary of development can be used to support learning at home.			
	Practitioners must agree with parents and/or carers when will be the most useful point to provide a summary. Where possible, the progress check and the Healthy Child Programme health and development review at age two should inform each other and support integrated working.			
	Providers must have the consent of parents and/or carers to share information directly with other relevant professionals.			
Asse	essment at the start of the reception year- the Reception Baseline A	ssessme	nt (RBA)	
	The Reception Baseline Assessment (RBA) is a short assessment, taken in		· · ·	a reception class at
	The statutory guidance for the administration of the RBA is set out in Annex es in reception within an academic year including during autumn, spring an			guidance covers all
Asse	essment at the end of the EYFS – the Early Years Foundation Stage	Profile (E	YFSP)	
2.9- 2.10	In the final term of the year in which the child reaches age five, and no later than 30 June in that term, the EYFS Profile must be completed for			

	each child. This includes those children who, by exception, complete the EYFS in an Ofsted or childminder agency registered setting and who are due to start school in Year 1 in the following academic year.	
	The Profile must reflect practitioner's own knowledge and professional judgement of a child to inform discussions with parents and/or carers, and any other adults whom the teacher, parent or carer judges can offer a useful contribution.	
2.11	Each child's level of development must be assessed against the early learning goals.	
	Practitioners must indicate whether children are meeting expected levels of development, or if they are not yet reaching expected levels ('emerging'). This is the EYFS Profile.	
2.12	Year 1 teachers must be given a copy of the Profile report.	
	Reception teachers, or early years practitioners where the Profile has been completed for a child who has remained in Ofsted registered early years provision, may choose to provide a short commentary on each child's skills and abilities in relation to the three key characteristics of effective teaching and learning.	
	These should help inform a dialogue between Reception and Year 1 teachers about each child's stage of development and learning needs and assist with the planning of activities in Year 1.	
2.13	Schools must share the results of the Profile with parents and/or carers, and explain to them when and how they can discuss the Profile with the teacher who completed it.	
	For children attending more than one setting, the Profile must be completed by the school where the child spends most time.	
	If a child moves to a new school during the academic year, the original school must send their assessment of the child's level of development against the early learning goals to the relevant school within 15 days of	

	receiving a request. If a child moves during the summer term, relevant providers must agree which of them will complete the Profile.	
2.14	The Profile must be completed for all children, including those with special educational needs or disabilities.	
	Reasonable adjustments to the assessment process for children with special educational needs and disabilities must be made as appropriate. Providers should consider whether they may need to seek specialist assistance to help with this.	
	Information to be provided to the Local Authority	
2.15	Early years providers must report EYFS Profile results to local authorities, upon request. Local authorities are under a duty to return this data to the relevant Government department.	

Section 3 – The Safeguarding and Welfare Requirements

This audit is to be used in conjunction with the EYFS Statutory Framework			
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	 Providers must ensure that their arrangements for safeguarding children comply with current guidance from government and the <u>Herefordshire Safeguarding Children Partnership</u>. There are specific safeguarding audits: The <u>Safeguarding audit checklist for group settings</u> is for all early years group settings including governor/school-run; The <u>Safeguarding audit for childminders</u> should be completed by all childminders. 	
3.2	Providers must take all necessary steps to keep children safe and well. Providers must safeguard children; ensure the suitability of adults who have contact with children; promote good health; manage behaviour; and maintain records, policies and procedures.	
3.3	Schools are not required to have separate policies to cover EYFS requirements provided the requirements are already met through an existing policy. Where providers other than childminders are required to have policies and procedures as specified below, these policies and procedures should be recorded in writing.	
	Childminders are not required to have written policies and procedures for the Early Years Register. However, they must be able to explain their policies and procedures to parents, carers, and others (for example Ofsted inspectors or the childminder agency with which they are registered) and ensure any assistants follow them. Therefore it is strongly recommended that all policies and procedures are in writing.	
	 For the Childcare Register, childminders must have written policies and procedures for: Safeguarding Complaints (including a log) 	
	Child Protection	
3.4	Providers must be alert to any issues for concern in the child's life at home or elsewhere.	

	Providers must have and implement a policy, and procedures, to safeguard children. These should be in line with the guidance and procedures of the relevant Local Safeguarding Partnership (HSCP). Herefordshire Safeguarding Children Partnership Policies and Procedures	
	The safeguarding policy and procedures must include an explanation of the action to be taken in the event of an allegation being made against a member of staff, and cover the use of mobile phones and cameras in the setting.	
3.5	A practitioner must be designated to take lead responsibility for safeguarding children in every setting. Childminders must take the lead responsibility for themselves.	
	The lead practitioner is responsible for liaison with local authority children's services agencies, and with the HSCP.	
	They must provide support, advice and guidance to any other staff on an ongoing basis, and on any specific safeguarding issue as required. The lead practitioner must attend a child protection training course that enables them to identify, understand and respond appropriately to signs of possible abuse and neglect.	
3.6	Providers must train all staff to understand their safeguarding policies and procedures and ensure that all staff have up to date knowledge of safeguarding issues.	
	Training made available by the provider must enable staff to identity signs of possible abuse and neglect at the earliest opportunity, and to respond in a timely and appropriate way. These may include: • significant changes in children's behaviour	
	deterioration in children's general well-being	
	• unexplained bruising, marks or signs of possible abuse or neglect	
	 children's comments which give cause for concern 	

	 any reasons to suspect neglect or abuse outside the setting, for example in the child's home or that a girl may have been subjected to (or is at risk of) female genital mutilation, and/or inappropriate behaviour displayed by other members of staff, or any other person working with the children, for example: inappropriate sexual comments; excessive one-to-one attention beyond the requirements of their usual role and responsibilities; or inappropriate sharing of images. 	
	Providers may also find <u>'What to do if you're worried a child is being</u> abused: advice for practitioners helpful.	
3.7	Providers must have regard to the government's statutory guidance <u>'Working Together to Safeguard Children'</u> and to the <u>The Prevent Duty</u> .	
	Schools are required to have regard to the governments <u>'Keeping</u> <u>Children Safe in Education</u> ' statutory guidance, and other childcare providers may also find it helpful to refer to this guidance. If providers have concerns about children's safety or welfare, they must notify agencies with statutory responsibilities without delay. This means the local children's social care services, MASH, or in emergencies, the Police.	
3.8	Registered providers must inform Ofsted or their childminding agency of any allegations of serious harm or abuse by any person living, working or looking after children at the premises (whether the allegations relate to harm or abuse committed on the premises or elsewhere).	
	Registered providers must also notify Ofsted or their childminder agency of the action taken in respect of the allegations. These notifications must be made as soon as is reasonably practicable, but at the latest within 14 days of the allegations being made.	
	A registered provider who, without reasonable excuse, fails to comply with this requirement, commits an offence.	

	Suitable People	
3.9	Providers must ensure that people looking after children are suitable to fulfil the requirements of their role. Providers must have effective systems in place to ensure that practitioners, and any other person who is likely to have regular contact with children, are suitable.	
3.10	 Registered providers other than childminders and childcare on domestic premises must obtain an enhanced criminal records check in respect of every person aged 16 and over (including for unsupervised volunteers, and supervised volunteers who provide personal care) who: works directly with children lives on the premises on which the childcare is provided (unless there is no access to the part of the premises when and where children are cared for) and/or works on the premises on which the childcare is provided (unless they do not work on the part of the premises where the childcare takes place, or do not work there at times when children are present). 	
	An additional criminal records check (or checks if more than one country) should also be made for anyone who has lived or worked abroad.	
3.11	Providers must tell staff that they are expected to disclose any convictions, cautions, court orders, reprimands and warnings which may affect their suitability to work with children, whether received before or during their employment at the setting (this should be discussed at ongoing supervision meetings).	
	Providers must not allow people whose suitability has not been checked to have unsupervised contact with children being cared for.	
3.12	Providers other than childminders must record information about staff qualifications and the identity checks, and vetting processes (including DBS reference number, the date a disclosure was obtained and details of who obtained it).	

3.13	Providers must also meet their responsibilities under the <u>Safeguarding</u> <u>Vulnerable Groups Act 2006</u> which includes a duty to make a referral to the DBS where a member of staff is dismissed (or would have been had they not left the setting first) because they have harmed a child or put a child at risk of harm.		
	Disqualification		
3.14	In the event of the disqualification of a registered provider, the provider must not continue as an early years provider – nor be directly concerned in the management of such provision. Where a person is disqualified, the provider must not employ that person in connection with early years provision. Where an employer becomes aware of relevant information that may lead to disqualification of an employee, the provider must take appropriate action to ensure the safety of children.		
3.15	For information purposes: PLEASE NOTE new Disqualification by Association arrangements came into force on 31 August 2018. For more information refer to <u>Disqualification Under the Childcare Act</u> 2018		
3.16	The provider must inform Ofsted or the childminding agency of any significant event that is likely to affect the suitability of any person who is in regular contact with children on the premises where childcare is provided. <i>The disqualification of an employee could be an instance of a significant event.</i>		
3.17	The provider must give Ofsted or the childminding agency specific information about themselves or any person who lives in the same household as the registered provider or who is employed in the household (information specified in para 3.17 of the EYFS Statutory Framework).		
3.18	The information must be provided to Ofsted or the childminding agency as soon as reasonably practicable but at the latest within 14 days of the		

	date the provider became aware of the information or ought reasonably to have become aware of it if they had made reasonable enquiries.	
	Staff taking medication/substances	
3.19	Practitioners must not be under the influence of alcohol or any other substance which may affect their ability to care for children.	
	If practitioners are taking medication which may affect their ability to care for children, those practitioners should seek medical advice.	
	Providers must ensure that those practitioners only work directly with children if medical advice confirms that the medication is unlikely to impair that staff members' ability to look after children properly.	
	Staff medication on the premises must be securely stored, and out of reach of children, at all times.	
	Staff qualifications, training, support and skills	
3.20	Providers must follow their legal responsibilities under the Equality Act 2010 including the fair and equal treatment of practitioners regardless of age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief, sex and sexual orientation.	
	Providers must support staff to undertake appropriate training and professional development opportunities to ensure they offer quality learning and development experiences for children that continually improves.	
3.21	Providers must ensure that all staff receive induction training to help them understand their roles and responsibilities. Induction training must include information about emergency evacuation procedures, safeguarding, child protection, and health and safety issues.	

	Providers must support staff to undertake appropriate training and professional development opportunities to ensure they offer quality learning and development experiences for children that continually improves.	
3.22	Providers must put appropriate arrangements in place for the supervision of staff who have contact with children and families (see para 3.23 of EYFS Statutory Framework for description of supervision).	
3.24	Childminders must have completed training which helps them to understand and implement the EYFS before they can register with Ofsted or a childminder agency. Childminders are accountable for the quality of the work of any assistants, and must be satisfied that assistants are competent in the areas of work they undertake.	
3.25	At least one person who has a current approved Paediatric First Aid (PFA) certificate must be on the premises and available at all times when children are present and must accompany children on outings.	
	The certificate must be for a full course consistent with the criteria set out in Annex A of the EYFS Statutory Framework.	
	Childminders, and any assistant who might be in sole charge of the children for any period of time, must hold a current PFA certificate which must be renewed every three years and be relevant for workers caring for young children and where relevant, babies.	
	All newly qualified entrants to the early years workforce who have completed a level 2 and/or level 3 qualification on or after 30 June 2016, must also have either a full PFA or an Emergency PFA certificate within three months of starting work in order to be included in the required adult:child ratios at level 2 or level 3 in an early years setting.	

	Providers should display (or make available to parents) staff Paediatric First Aid certificates or a list of staff who have a current Paediatric First Aid certificate.	
3.26	Providers must ensure that staff have sufficient understanding and use of English to ensure the well-being of children in their care. For example, settings must be in a position to keep records in English, to liaise with other agencies in English, to summon help, and to understand instructions such as those for safety of medicine or food hygiene.	
	Key Person	
3.27	Each child must be assigned a key person (see para 3.27 of EYFS Statutory Framework for description of key person role).	
	Staff : Child Ratio- all providers including childminders	
3.28	In settings on the early years register, the manager must hold an approved level 3 qualification or above and at least half of all other staff must hold at least an approved level 2 qualification.	
	The provider must ensure there is a named deputy who, in their judgement, is capable and qualified to take charge in the manager's absence.	
	Qualifications as defined by the Department for Education on the Early Years Qualifications List published on GOV.UK: <u>https://www.gov.uk/guidance/early-years-qualifications-finder</u>	
3.29	Staffing arrangements must meet the needs of all children and ensure their safety.	
	Ratios must be adhered to. Providers must ensure that children are adequately supervised, including whilst eating, and decide how to deploy staff to ensure children's needs are met.	

	Providers must inform parents and/or carers about staff deployment, and, when relevant and practical, aim to involve them in these decisions.		
	Children must usually be within sight and hearing of staff and always within sight or hearing.		
3.31	The ratio and qualification requirements (paras 3.32- 3.40 of the EYFS Statutory Framework) apply to the total number of staff available to work directly with children.		
	Before/After school care and holiday provision		
3.41	Where the provision is solely before/after school care or holiday provision for children who normally attend Reception class (or older) during the school day, there must be sufficient staff as for a class of 30 children.		
	Childminders		
3.42	At any one time, childminders may care for a maximum of six children under the age of eight. Of these six children, a maximum of three may be young children, and there should only be one child under the age of one.		
	Any care provided for older children must not adversely affect the care of children receiving early years provision.		
3.43	Regarding exceptions to usual ratios for childminders- see papa 3.43 in the EYFS Statutory Framework for details. In all circumstances, the total number of children under the age of eight being cared for must not exceed six per adult.		
3.44	If a childminder employs an assistant or works with another childminder, each childminder (or assistant) may care for the number of children permitted by the ratios specified above. Children may be left in the sole care of childminders' assistants for two hours at most in a single day.		
	Childminders must obtain parents and or carers' permission to leave children with an assistant, including for very short periods of time.		

 c Childminders providing overnight care, the ratios continue to apply d the childminder must always be able to hear the children. alth: Medicines e provider must promote the good health, including the oral health, of ldren attending the setting. ey must have a procedure, discussed with parents and/or carers, for ponding to children who are ill or infectious, take necessary steps to event the spread of infection, and take appropriate action if children e ill. by oviders must have and implement a policy, and procedures, for 				
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3.48	Meals, snacks and drinks, where provided, must be healthy, balanced and nutritious.	
	Before a child is admitted to the setting the provider must also obtain information about any special dietary requirements, preferences and food allergies that the child has, and any special health requirements.	
	Fresh drinking water must be available and accessible to children at all times.	
	Providers must record and act on information from parents and carers about a child's dietary needs.	
3.49	There must be an area which is adequately equipped to provide healthy meals, snacks and drinks.	
	There must be suitable facilities for the hygienic preparation of food for children, if necessary including suitable sterilisation equipment for babies' food.	
	Providers must be confident that those responsible for preparing and handling food are competent to do so.	
	In group provision, all staff involved in preparing and handling food must receive training in food hygiene.	
3.50	Registered providers or the childminder agency must notify Ofsted of any food poisoning affecting two or more children.	
	Notification must be made as soon as is reasonably practicable and at least within 14 days of the incident.	

	A registered provider, who, without reasonable excuse, fails to comply with this requirement, commits an offence.	
	Health: Accident or injury	
3.51	Providers must ensure there is a first aid box accessible at all times with appropriate content for use with children.	
	Providers must keep a written record of accidents or injuries and first aid treatment.	
	Providers must inform parents and/or carers of any accident or injury sustained by the child on the same day, or as soon as reasonably practicable, of any first aid treatment given.	
3.52	Registered providers must notify Ofsted or the childminder agency with which they are registered of any serious accident, illness or injury to, or death of, any child while in their care, and of the action taken. Notification must be made as soon as is reasonably practicable, but in any event within 14 days of the incident occurring.	
	A registered provider, who, without reasonable excuse, fails to comply with this requirement, commits an offence.	
	(for clarification of serious injuries refer to <u>Ofsted's Early Years</u> <u>Compliance Handbook</u> .)	
	Providers must notify local child protection agencies of any serious accident or injury to, or the death of, any child while in their care, and must act on any advice from those agencies.	
	Health: Managing behaviour	
3.53	Providers are responsible for managing children's behaviour in an appropriate way.	

3.54	Providers must not give or threaten corporal punishment to a child and must not use or threaten any punishment which could adversely affect a child's well-being.	
	Providers must take all reasonable steps to ensure that corporal punishment is not given by any person who cares for or is in regular contact with a child or by any person living or working in the premises where care is provided. <i>Any early years provider who fails to meet these requirements commits an offence.</i>	
	Providers, including childminders, must keep a record of any occasion where physical intervention is used, and parents and/or carers must be informed on the same day, or as soon as reasonably practicable.	
	Safety and suitability of premises, environment and equipment Safety	
3.55	Providers must ensure that their premises, including overall floor space and outdoor spaces, are fit for purpose and suitable for the age of children cared for and the activities provided on the premises.	
	Providers must comply with requirements of health and safety legislation (including the fire safety and hygiene requirements)	
3.56	Providers must take reasonable steps to ensure the safety of children, staff and others on the premises in the case of fire or any other emergency, and must have an emergency evacuation procedure.	
	Providers must have appropriate fire detection and control equipment (for example, fire alarms, smoke detectors, fire blankets and/or fire extinguishers) which is in working order.	
	Fire exits must be clearly identifiable and fire doors must be free of obstruction and easily opened from the inside.	

	Smoking and vaping	
3.57	Providers must not allow smoking in or on the premises when children are present or about to be present. <i>Staff should not vape or use e-</i> <i>cigarettes when children are present and providers should consider</i> <i>Public Health England advice on their use in public places and</i> <i>workplaces.</i>	
	Premises	
3.58	The premises and equipment must be organised in a way that meets the needs of children.	
	Providers must meet the indoor space requirements where indoor activity in a building(s) forms the main part of (or is integral) to the provision- see para 3.58 in the EYFS Statutory Framework.	
	Where the space standards are applied, providers <u>cannot</u> increase the number of children on roll because they additionally use an outside area.	
3.59	Providers must provide access to an outdoor play area or, if that is not possible ensure that outdoor activities are planned and taken on a daily basis (unless circumstances make this inappropriate, for example unsafe weather conditions).	
	Providers must follow their legal responsibilities under the Equality Act 2010 (for example, the provisions on reasonable adjustments).	
3.60	Sleeping children must be frequently checked to ensure that they are safe. Being safe includes ensuring that cots/bedding are in good condition and suited to the age of the child, and that infants are placed down to sleep safely in line with latest government safety guidance.	
	There should be a separate baby room for children under the age of two, except in childminding settings.	

	Providers must ensure the children in the baby room have contact with older children and are moved into the older age group when appropriate.	
3.61	Providers must ensure there is an adequate number of toilets and hand basins available.	
	Except in childminding settings, there should usually be separate toilet facilities for adults.	
	Providers must ensure there are suitable hygienic changing facilities for changing any children who are in nappies and providers should ensure that an adequate supply of clean bedding, towels, spare clothes and any other necessary items is always available.	
3.62	Providers must ensure that there is an area where staff may talk to parents and/or carers confidentially, as well as an area in group settings for staff to take breaks away from areas being used by children.	
3.63	Providers must only release children into the care of individuals who have been notified to the provider by the parent.	
	They must ensure that children do not leave the premises unsupervised.	
	Providers must take all reasonable steps to prevent unauthorised persons entering the premises, and have an agreed procedure for checking the identity of visitors.	
	Providers must consider what additional measures are necessary when children stay overnight.	
3.64	Providers must carry the appropriate insurance (e.g. public liability insurance) to cover all premises from which they provide childcare or childminding.	
	Risk assessment	

3.65	Providers must ensure that they take all reasonable steps to ensure staff and children in their care are not exposed to risks.	
	Providers must be able to demonstrate how they are managing risks.	
	Providers must determine where it is helpful to make some written risk assessments in relation to specific issues, to inform staff practice, and to demonstrate how they are managing risks if asked by parents and/or carers or inspectors.	
	Risk assessments should identify aspects of the environment that need to be checked on a regular basis, when and by whom those aspects will be checked, and how the risk will be removed or minimised. The risk assessment does not necessarily need to be in writing; this is for providers to judge.	
	Outings	
3.66	Children must be kept safe while on outings.	
	Providers must assess the risks or hazards which may arise for the children, and must identify the steps to be taken to remove, minimise and manage those risks and hazards.	
	The assessment must include consideration of adult:child ratios.	
3.67	Vehicles in which children are being transported, and the driver of those vehicles, must be adequately insured.	
	Special Educational needs	
3.68	Providers must have arrangements in place to support children with SEN or disabilities.	

	Maintained nursery schools and other providers who are funded by the local authority to deliver early education places must have regard to the <u>SEND Code of Practice 0-25</u>	
	Maintained schools and maintained nursery schools must identify a member of staff to act as Special Educational Needs Co-ordinator (SENCo) and other providers are expected to identify a SENCo.	
	Childminders are encouraged to identify a person to act as a SENCo and childminders who are registered with a childminder agency or who are part of a network may wish to share the role between them.	
	Information and records	
3.69	Providers must maintain records and obtain and share information (with parents and carers, other professionals working with the child, the police, social services and Ofsted or the childminder agency with which they are registered, as appropriate) to ensure the safe and efficient management of the setting, and to help ensure the needs of all children are met.	
	Providers must enable a regular two-way flow of information between parents and/or carers, and between providers, if a child is attending more than one setting.	
	If requested, providers should incorporate parents' and/or carers' comments into children's records.	
3.70	Records must be easily accessible and available (these may be kept securely off the premises).	
	Confidential information and records about staff and children must be held securely and only accessible and available to those who have a right or professional need to see them.	
	Providers must be aware of their responsibilities under the Data Protection Legislation and where relevant the Freedom of Information Act	

	2000 (<u>https://www.gov.uk/government/publications/guide-to-the-general-data-protection-regulation</u>).		
3.71	Providers must ensure that all staff understand the need to protect the privacy of the children in their care as well the legal requirements that exist to ensure that information relating to the child is handled in a way that ensures confidentiality.		
	Parents and/or carers must be given access to all records about their child, provided that no relevant exemptions apply to their disclosure under the <u>Data Protection</u> Act.		
3.72	Records relating to individual children must be retained for a reasonable period of time after they have left the provision.		
	Information about the child		
3.73	Providers must record specific information for each child as specified in para 3.73 of the EYFS Statutory Framework.		

	Information for parents and carers		
3.74	Providers must make available to parents and/or carers information as specified in para 3.74 of the EYFS Statutory Framework.		
	Complaints		
3.75	Providers must put in place a written procedure for dealing with concerns and complaints from parents and/or carers.		
	They must keep a written record of any complaints, and their outcome.		

	Childminders are not required to have a written procedure for handling complaints, but they must keep a record of any complaints they receive and their outcome.	
	All providers must investigate written complaints relating to their fulfilment of the EYFS requirements and notify complainants of the outcome of the investigation within 28 days of having received the complaint.	
	The record of complaints must be made available to Ofsted or the relevant childminder agency on request.	
3.76	Providers must make available to parents and/or carers details about how to contact Ofsted or the childminder agency with which the provider is registered, as appropriate, if they believe the provider is not meeting the EYFS requirements.	
	If providers become aware that they are to be inspected by Ofsted or have a quality assurance visit by the childminder agency, they must notify parents and/or carers.	
	After an inspection by Ofsted or a quality assurance visit by their childminder agency, providers must supply a copy of the report to parents and/or carers of children attending on a regular basis.	
	Information about the provider	

3.77	 Providers must hold the following documentation: contact details of the provider and any other person living or employed on the premises (this requirement does not apply to childminders), contact details of anyone else who will regularly be in unsupervised contact with the children a daily record of the names of the children being cared for on the premises, their hours of attendance and the names of each child's key person; and their certificate of registration (which must be displayed at the setting and shown to parents and/or carers on request). 	
	Changes that must be notified to Ofsted or the Childminder Agency	
3.78	All registered early years providers must notify Ofsted or the childminder agency with which they are registered of any changes as specified in para 3.78 in the EYFS Statutory Framework.	
3.79	Where providers are required to notify Ofsted or their childminder agency about a change of person except for managers, providers must give Ofsted or their childminder agency the new person's name, any former names or aliases, date of birth, and home address.	
	If there is a change of manager, providers must notify Ofsted or the childminder agency that a new manager has been appointed in advance, where reasonably practicable, but always within 14 days.	
	 Committees to complete DBS/EY2 form Please Note: NEW OFSTED FORMS: EY3 for Childminders to notify: changes to names and addresses and any start or leave dates for any assistants working with you new members of your household, a new partner or family member who have come to live with you EY3a for Childcare on non-domestic premises. EY3b for Childcare on domestic premises. 	

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uirements sit alongside other legal obligations and do not replace any other legislation which providers must still mple, where provision is taking place in maintained is other legislation in place with which headteachers, other practitioners must comply. Other duties on providers		
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afety legislation;		
on regulations;		
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